

# MLPhYs

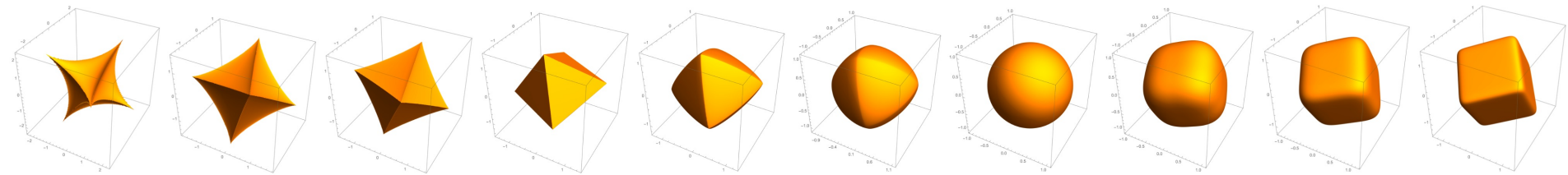
Foundation of "Machine Learning Physics"

## 学習物理学の創成

Grant-in-Aid for Transformative Research Areas (A)

# Neural Polytopes

Based on: 1) “Neural polytopes” (ArXiv:2307.00721 [cs.LG]) accepted at ICML2023 workshop poster  
2) “Multi-body wave function of ground and low-lying excited states using unornamented deep neural network” (ArXiv:2302.08965) Phys. Rev. Research 5 (2023) 033189



Koji Hashimoto (Kyoto U., phys. dept.)  
Tomoya Naito (RIKEN iTHEMS)  
Hisashi Naito (Nagoya U., math dept.)

# ML journey from QM to polytopes

- ① Intro: NN quantum states 4 pages
- ② Solving QM with DNN 5 pages  
ArXiv:2302.08965 [physics.comp-ph]
- ③ Multi-particle / interaction 9 pages  
ArXiv:2302.08965 [physics.comp-ph]
- ④ Discrete geometry 5 pages  
Unpublished (on-going work)
- ⑤ Neural polytopes 6 pages  
ArXiv:2307.00721 [cs.LG]

# ① Neural Network Quantum States 1/4

Find ground state wave function  $\psi(s_1, s_2, \dots, s_N)$

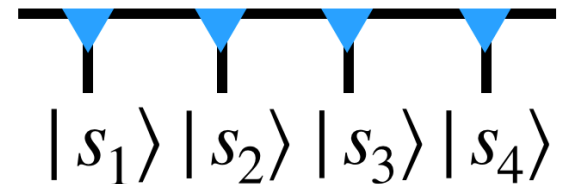
Q : Minimize its energy  $E$  for a given Hamiltonian  $H$ ,

$$E = \frac{\sum_{s_1, \dots, s_N, s'_1, \dots, s'_N} \psi^\dagger(s'_1, \dots, s'_N) \hat{H}_{s'_1, \dots, s'_N, s_1, \dots, s_N} \psi(s_1, \dots, s_N)}{\sum_{s_1, \dots, s_N} \psi^\dagger(s_1, \dots, s_N) \psi(s_1, \dots, s_N)}$$

A : Use ansatz and optimize parameters!

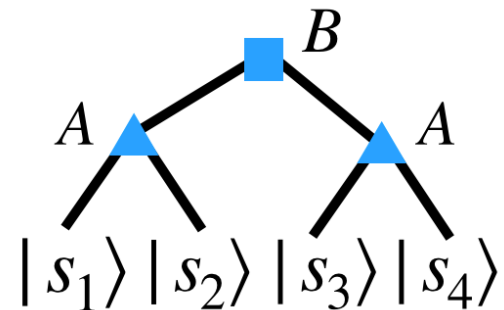
- Matrix product states

$$\psi(s_1, s_2, \dots) = \text{tr}[A^{(s_1)} A^{(s_2)} \dots]$$



- Tensor network states

$$\psi(s_1, s_2, \dots) = \sum_{m,n} B_{mn} A_{ms_1s_2} A_{ns_3s_4}$$



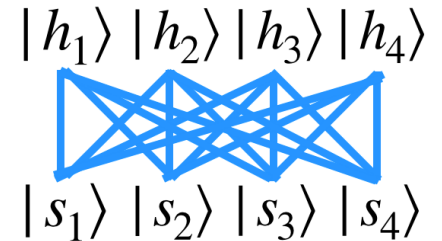


# ① Neural Network Quantum States 2/4

## Neural network can be wave functions

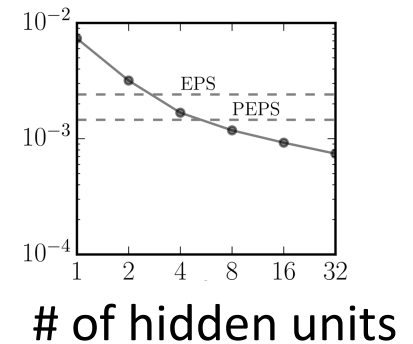
- Boltzmann machine states [Carleo, Troyer `17], [Nomura, Darmawan, Yamaji, Imada `17], ..

$$\psi(s_1, \dots, s_N) = \sum_{h_A} \exp \left[ \sum_a a_a s_a + \sum_A b_A h_A + \sum_{a,A} J_{aA} s_a h_A \right]$$



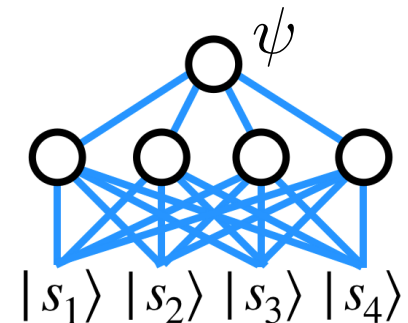
Ex) 2-d antiferromagnetic Heisenberg model was better-approximated

Energy with RBM states



- Feedforward network states [Saito `18], ..

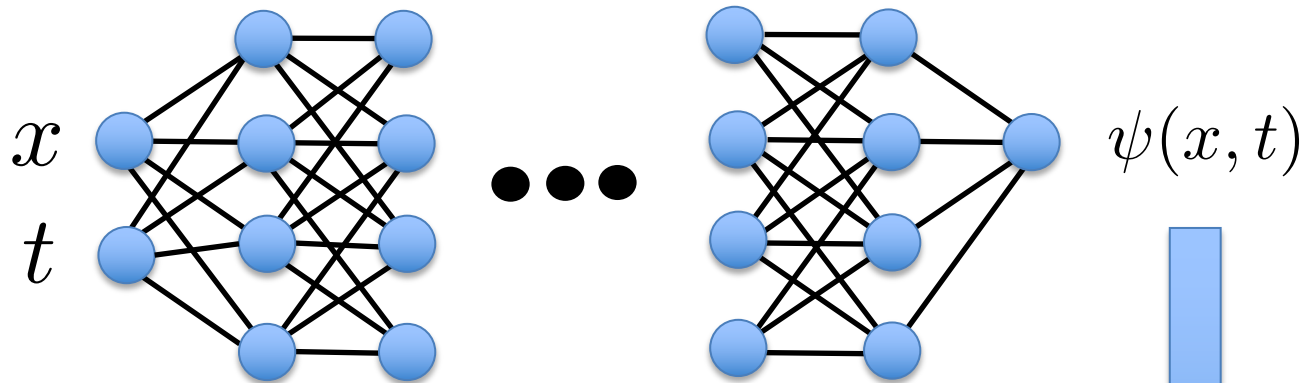
$$\psi(s_1, \dots, s_N) = \sum_i f_i \sigma \left( \sum_j W_{ij} s_j + b_i \right)$$



# ① Neural Network Quantum States 3/4

## PINN (Physics-informed neural networks)

[Raissi, Perdikaris, Karniadakis '17], ..



$$\text{Loss } \mathcal{L} = \mathcal{L}_{\text{data}} + \mathcal{L}_{\text{EoM}} + \mathcal{L}_{\text{BC}}$$

{	Physics observations	$\psi(x = x_n, t = t_i) = \psi_{\text{data}}$
	Equations of motion	$\mathcal{F}[\psi(x, t)] = 0$
	Boundary conditions	$\mathcal{B}[\psi(x = x_0, t)] = 0$

# ① Neural Network Quantum States 4/4

## My motivation : continuum $\Leftrightarrow$ discrete

So far, most of the work are separated to either “discrete” inputs or “continuous” inputs.

- Discretization effects in DNN approximation of continuous systems is small enough?
- Concepts in continuous systems – such as topology – will be modified or surviving?
- How the wave function “space” is generated by DNN and machine learning?

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# ② Solving QM with DNN

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## QM on a lattice, suitable for DNN

### Question

For a given Hamiltonian, solve for ground and excited states.  
1d or multi-d. 1-particle or many particle. Boson or fermion.

### Strategy

- 1) Prepare your QM Hamiltonian on a lattice.
- 2) DNN input : spatial coordinate values  $(x,y,..)$  on a lattice  
DNN output : wave function value  $f(x,y,..)$   
loss function : Energy expectation value, for the whole lattice
- 3) Train DNN and obtain the ground state wave function.

# ② Solving QM with DNN

## 1d harmonic oscillator on a lattice

Strategy 1) Prepare your QM Hamiltonian on a lattice.

$$H = -\frac{\hbar^2}{2m} \sum_j \Delta_j + \sum_j V^{\text{ext}}(\mathbf{r}_j) + \frac{1}{2} \sum_{j \neq k} V^{\text{int}}(\mathbf{r}_j, \mathbf{r}_k)$$

$$\simeq \tilde{H} = -\frac{1}{2h^2} \tilde{T} + \tilde{V}^{\text{ext}}$$

$$\psi \simeq \tilde{\psi} = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \vdots \\ \psi_{M-3} \\ \psi_{M-2} \\ \psi_{M-1} \end{pmatrix}$$

$$\tilde{T} = \begin{pmatrix} -2 & 1 & 0 & \dots & 0 & 0 & 0 \\ 1 & -2 & 1 & \dots & 0 & 0 & 0 \\ 0 & 1 & -2 & \dots & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & -2 & 1 & 0 \\ 0 & 0 & 0 & \dots & 1 & -2 & 1 \\ 0 & 0 & 0 & \dots & 0 & 1 & -2 \end{pmatrix}$$

$$\tilde{V}^{\text{ext}} = \begin{pmatrix} V_1^{\text{ext}} & 0 & 0 & \dots & 0 & 0 & 0 \\ 0 & V_2^{\text{ext}} & 0 & \dots & 0 & 0 & 0 \\ 0 & 0 & V_3^{\text{ext}} & \dots & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & V_{M-3}^{\text{ext}} & 0 & 0 \\ 0 & 0 & 0 & \dots & 0 & V_{M-2}^{\text{ext}} & 0 \\ 0 & 0 & 0 & \dots & 0 & 0 & V_{M-1}^{\text{ext}} \end{pmatrix}$$

$$V_j^{\text{ext}} = V^{\text{ext}}(x_j), \quad \psi_j = \psi(x_j), \quad x_j = -x_{\text{max}} + hj,$$

$$h \sqrt{\sum_j \tilde{\psi}_j^2} = 1, \quad h = 2x_{\text{max}}/M$$

# ② Solving QM with DNN

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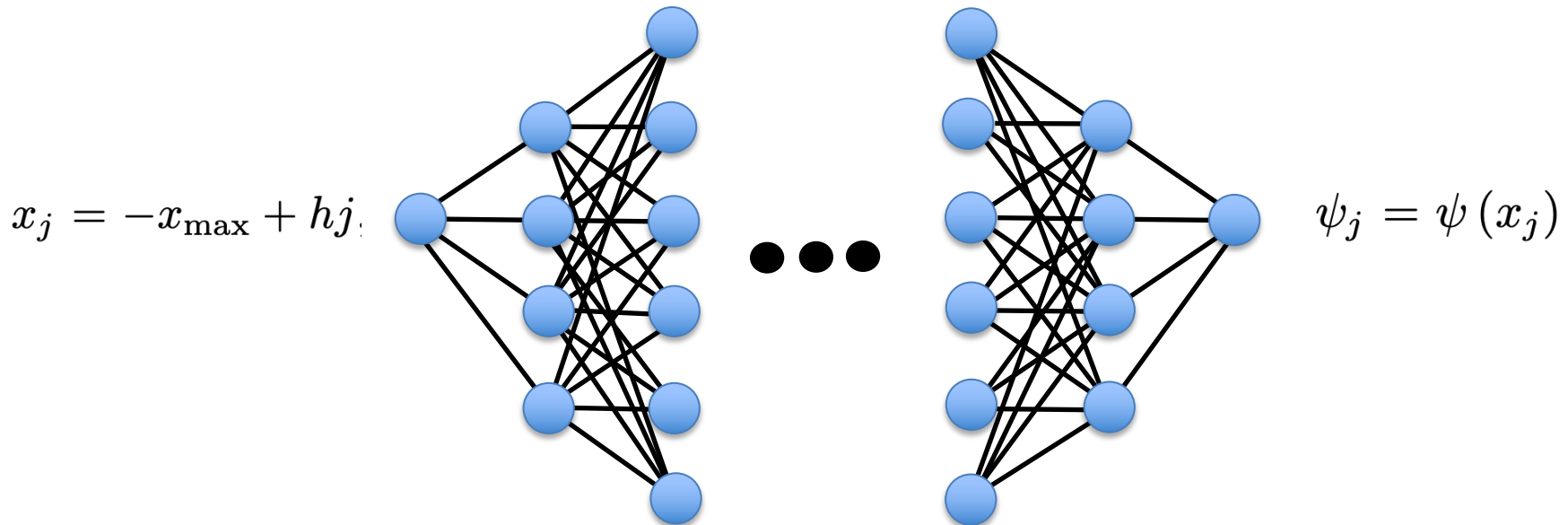
## 1 → 1 DNN is prepared

### Strategy

2) DNN input : spatial coordinate values  $(x, y, \dots)$  on a lattice

DNN output : wave function value  $f(x, y, \dots)$

loss function : Energy expectation value, for the whole lattice



Activation function:  $\text{softplus}(x) = \log(1 + e^x)$

# ② Solving QM with DNN

## Successful training with good accuracy

Strategy 3) Train DNN and obtain the ground state wave function.

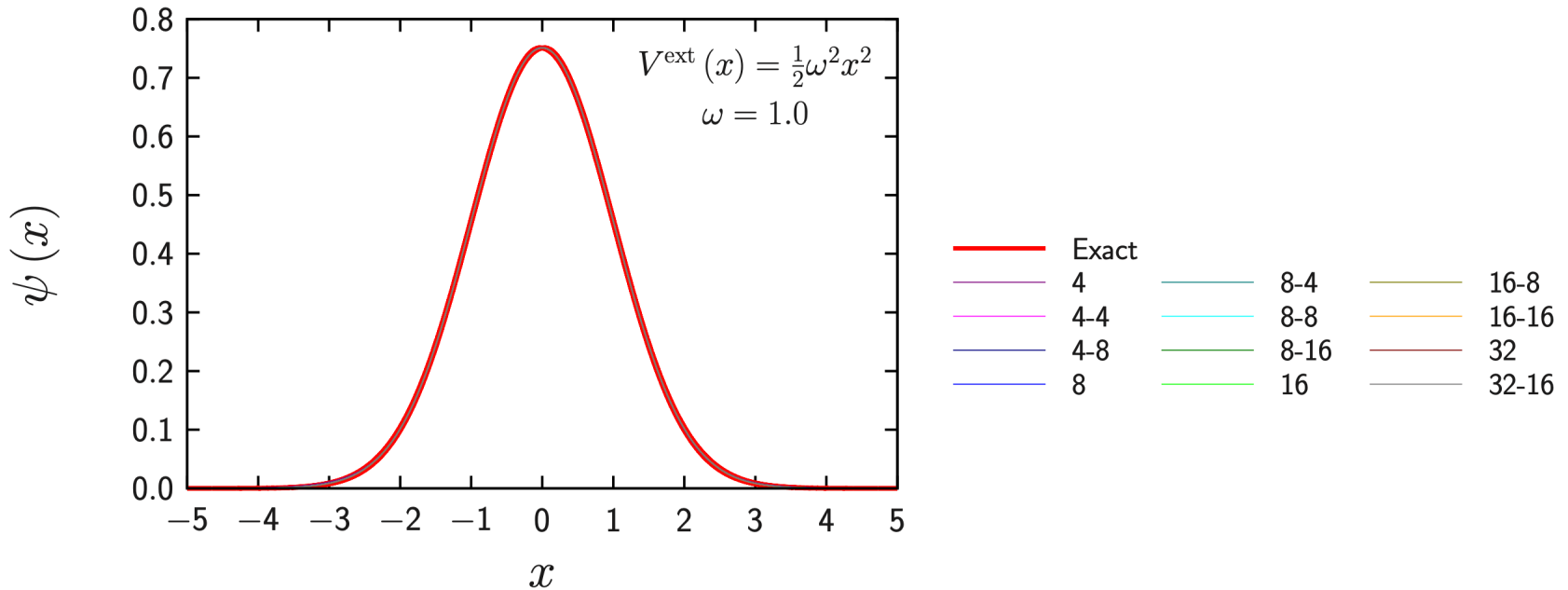
$$V^{\text{ext}}(x) = \frac{1}{2}\omega^2 x^2$$

$\omega$	# of Unit		Energy		
	1st Layer	2nd Layer	Kinetic	Potential	Total
1.0	4	—	+0.250043	+0.250032	+0.500075
1.0	4	4	+0.250006	+0.249996	+0.500002
1.0	4	8	+0.250001	+0.249997	+0.499998
1.0	8	—	+0.250002	+0.250002	+0.500004
1.0	8	4	+0.250000	+0.249998	+0.499998
1.0	8	8	+0.250004	+0.249996	+0.500001
1.0	8	16	+0.249999	+0.249999	+0.499997
1.0	16	—	+0.250000	+0.249999	+0.499999
1.0	16	8	+0.250000	+0.249998	+0.499998
1.0	16	16	+0.249999	+0.249998	+0.499997
1.0	32	—	+0.250000	+0.249999	+0.499998
1.0	32	16	+0.249999	+0.249999	+0.499998

# ② Solving QM with DNN

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## DNN wave function matches



$$\psi_{\text{gs}}(x) = \frac{1}{3.7451} \text{softplus}(a_{\text{gs}}(x)),$$

$$a_{\text{gs}}(x) = 2.4069a_1(x) - 1.8344a_2(x) - 1.9778a_3(x) + 2.3484a_4(x) - 4.8998,$$

$$a_1(x) = \text{softplus}(0.35953x + 3.9226),$$

$$a_2(x) = \text{softplus}(2.5821x + 0.033213),$$

$$a_3(x) = \text{softplus}(-0.65170x + 2.9574),$$

$$a_4(x) = \text{softplus}(0.15421x + 2.2016)$$

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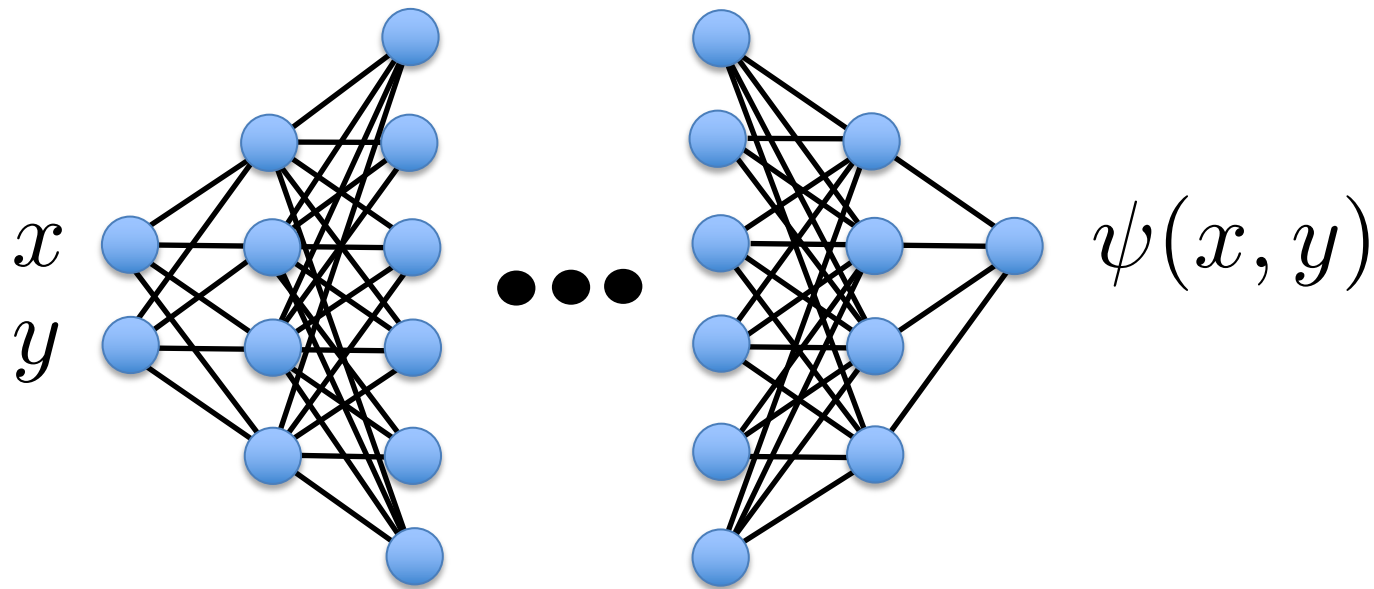
# ③ Multi-particle / interaction

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## 2 particles, 1d harmonic oscillator

$(x,y)$  : location of the first and second particle

Introduction of interaction  $V^{\text{int}}(x, y) = \lambda \exp(-|x - y|)$



**Simplicity: Symmetrization (boson) is imposed afterwards!!**

# 3. Multi-particle / interaction

2/9

## 2 particles, 1d harmonic oscillator

Particles	$\omega$	$\lambda$	Energy
Boson	1.0	-1.00	-89.869381
Boson	1.0	-0.25	-19.848949
Boson	1.0	+0.00	+0.999927
Boson	1.0	+0.25	+3.298725
Boson	1.0	+1.00	+3.835173
Boson	5.0	-1.00	-87.554311
Boson	5.0	-0.25	-17.203647
Boson	5.0	+0.00	+4.997829
Boson	5.0	+0.25	+21.149827
Boson	5.0	+1.00	+31.804917
Boson	10.0	-1.00	-84.129658
Boson	10.0	-0.25	-13.118213
Boson	10.0	+0.00	+9.991009
Boson	10.0	+0.25	+32.287424
Boson	10.0	+1.00	+72.688350
Fermion	1.0	-1.00	-71.409493
Fermion	1.0	-0.25	-11.369632
Fermion	1.0	+0.00	+1.999931
Fermion	1.0	+0.25	+3.298786
Fermion	1.0	+1.00	+3.839178
Fermion	5.0	-1.00	-68.409494
Fermion	5.0	-0.25	-7.207718
Fermion	5.0	+0.00	+9.995902
Fermion	5.0	+0.25	+21.385884
Fermion	5.0	+1.00	+31.804915
Fermion	10.0	-1.00	-63.026667
Fermion	10.0	-0.25	+0.302312
Fermion	10.0	+0.00	+19.975414
Fermion	10.0	+0.25	+38.060907
Fermion	10.0	+1.00	+73.245097

Compare:

For exact sol. at  $\lambda = 0$

Boson :  $E_{\text{gs}} = \omega$

$$\psi_{\text{gs}}(x, y) = \sqrt{\frac{\omega}{\pi}} \exp\left[-\frac{\omega(x^2 + y^2)}{2}\right]$$

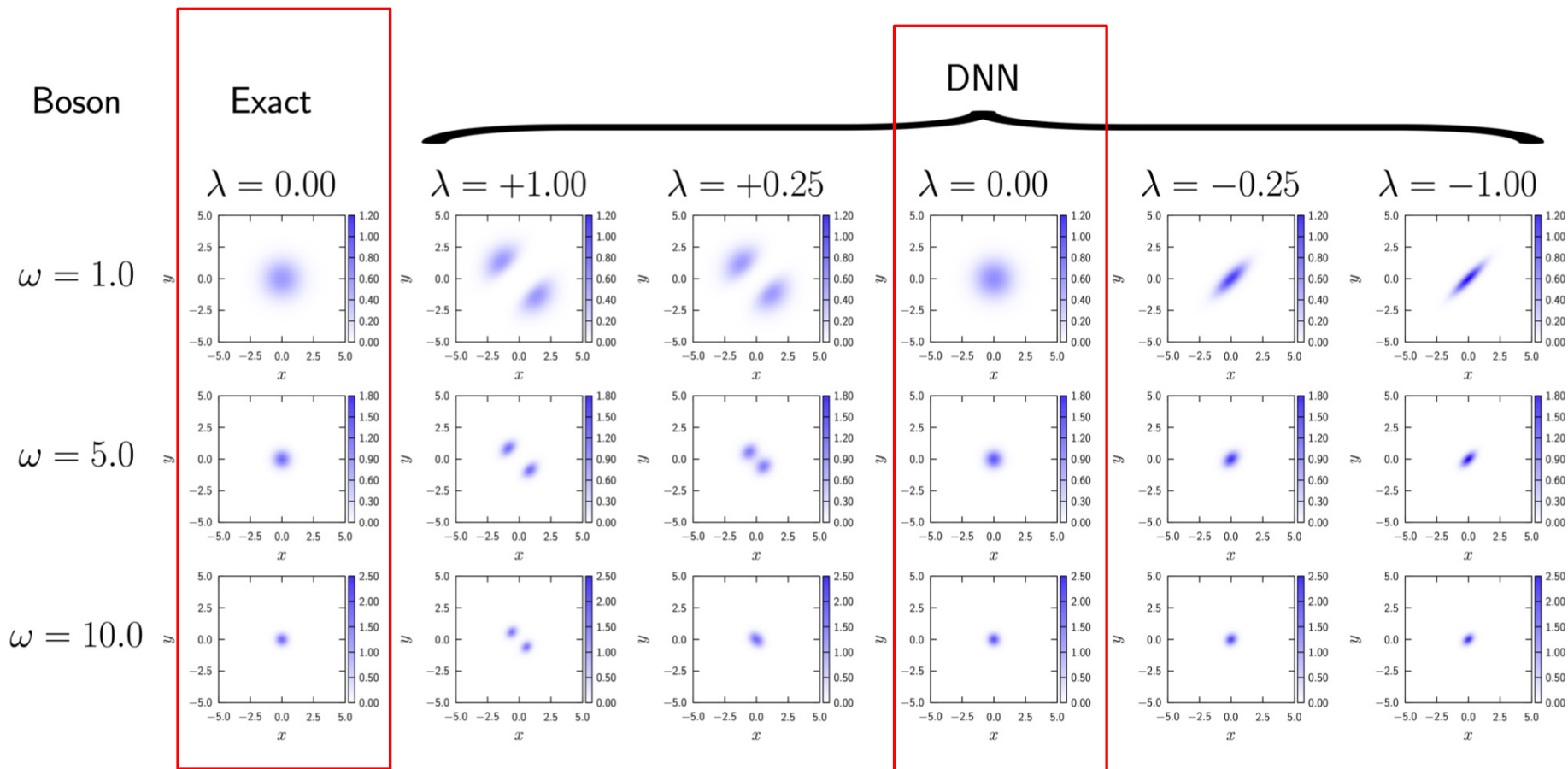
Fermion :  $E_{\text{gs}} = 2\omega$

$$\psi_{\text{gs}}(x, y) = \frac{\omega}{\sqrt{\pi}} (x - y) \exp\left[-\frac{\omega(x^2 + y^2)}{2}\right]$$

# 3.

# Multi-particle / interaction

## 2 particles, 1d harmonic oscillator



# 3.

# Multi-particle / interaction

## 2 particles, 1d harmonic oscillator

Fermion

Exact

DNN

$\lambda = 0.00$

$\lambda = +1.00$

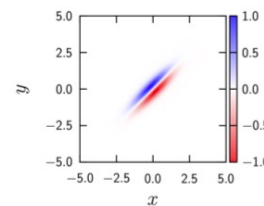
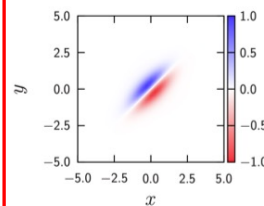
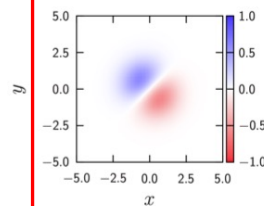
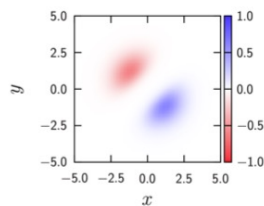
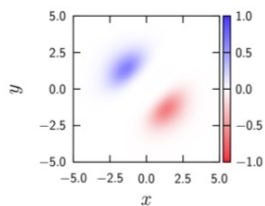
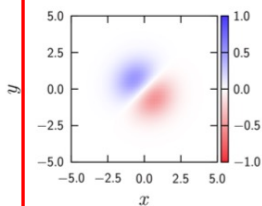
$\lambda = +0.25$

$\lambda = 0.00$

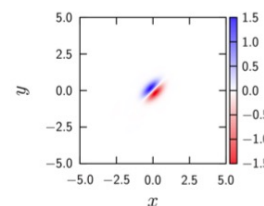
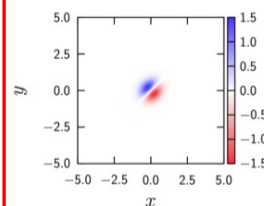
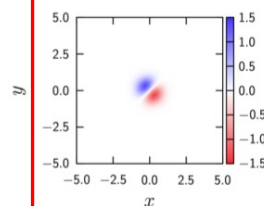
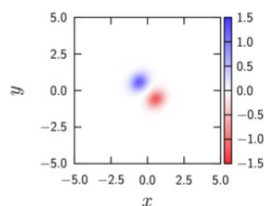
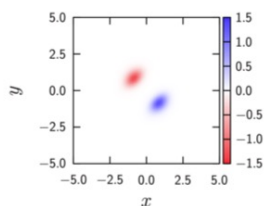
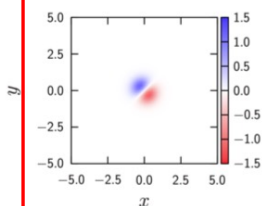
$\lambda = -0.25$

$\lambda = -1.00$

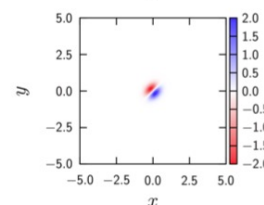
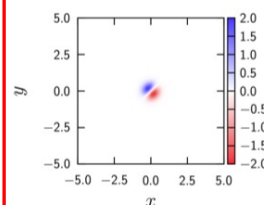
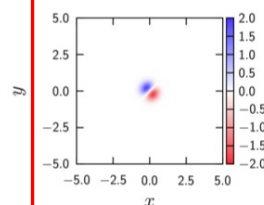
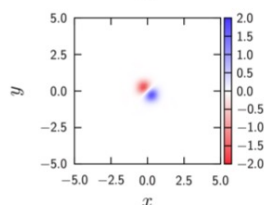
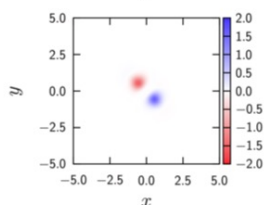
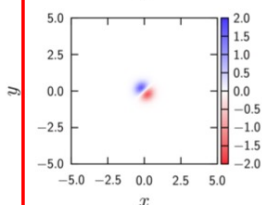
$\omega = 1.0$



$\omega = 5.0$



$\omega = 10.0$

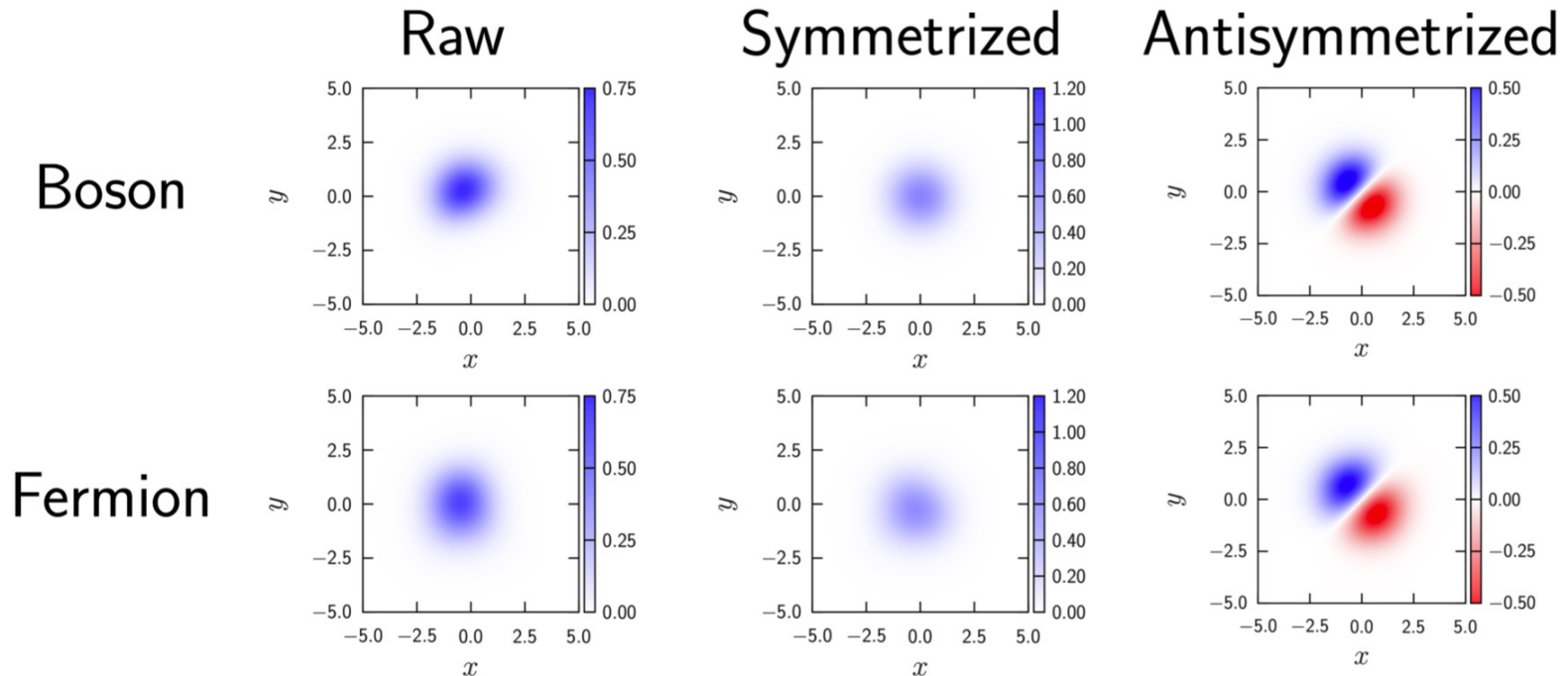


# 3. Multi-particle / interaction

## 2 particles, 1d harmonic oscillator

Generalization?!

Look inside: Raw wave function before (anti-)symmetrized



# ③ Multi-particle / interaction

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## 3 particles, 1d harmonic oscillator

Training result :

$$\omega = 1.0$$

$$\lambda = 0$$

Particles	Energy
Boson	+1.497880
Fermion	+4.486830

Compare: For exact sol. at  $\lambda = 0$

$$\text{Boson : } E_{\text{gs}} = \frac{3}{2}\omega$$

$$\psi_{\text{gs}}(x, y, z) = \left(\frac{\omega}{\pi}\right)^{3/4} \exp\left[-\frac{\omega(x^2 + y^2 + z^2)}{2}\right]$$

$$\text{Fermion : } E_{\text{gs}} = \frac{9}{2}\omega$$

$$\psi_{\text{gs}}(x, y, z) = \left(\frac{\omega}{\pi}\right)^{3/4} \sqrt{\frac{\omega}{6}} [(x-y)(1-2\omega z^2) + (y-z)(1-2\omega x^2) + (z-x)(1-2\omega y^2)] \exp\left[-\frac{\omega(x^2 + y^2 + z^2)}{2}\right]$$

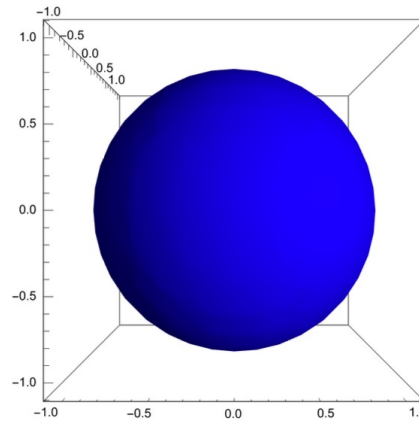


# ③ Multi-particle / interaction

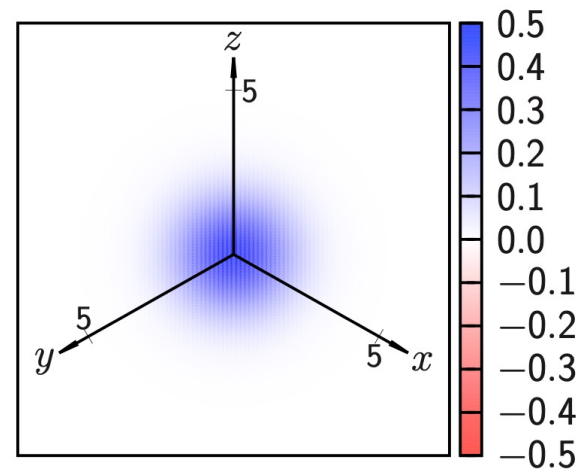
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## 3 particles, 1d harmonic oscillator

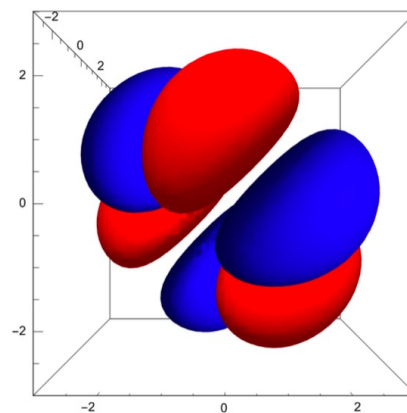
Boson :



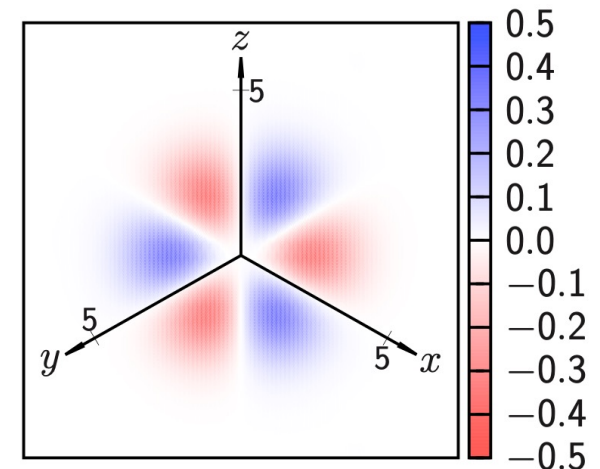
■ +0.25



Fermion :



■ -0.05  
■ +0.05



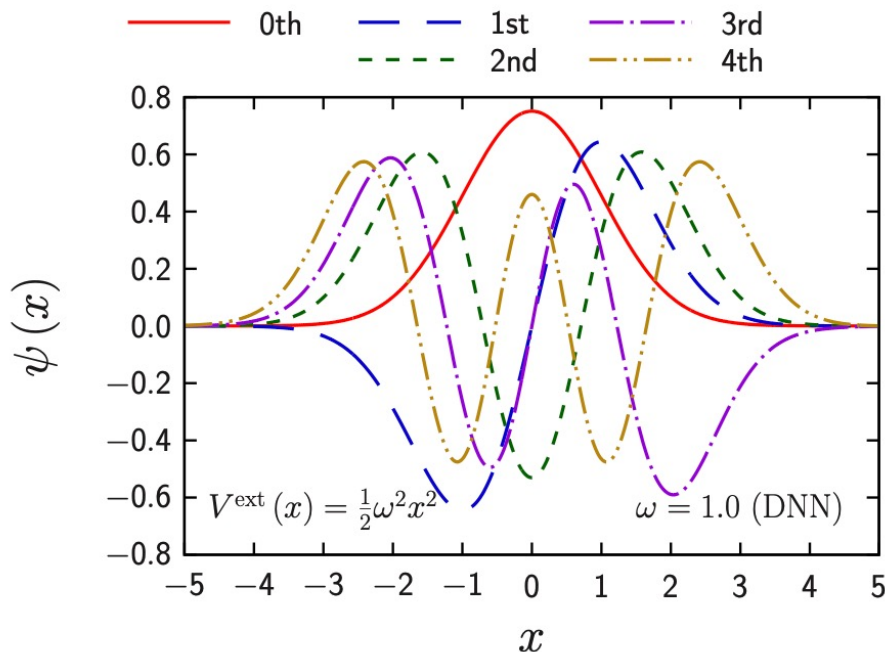
# 3. Multi-particle / interaction

## Excited states (1 particles, 1d harmonic oscillator)

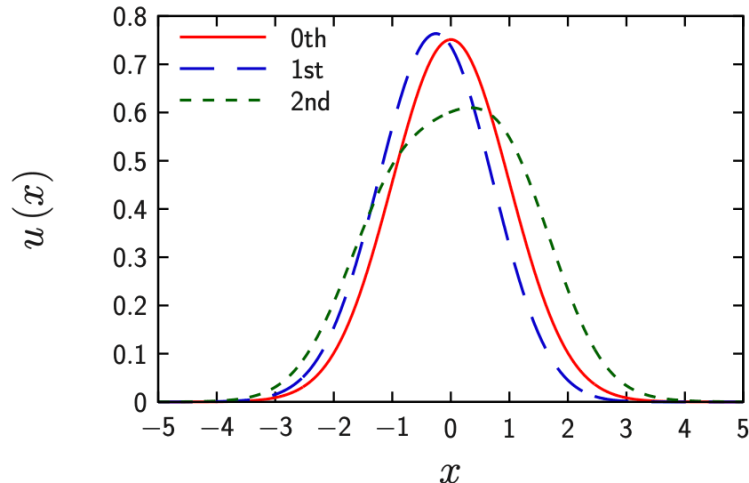
Training result :

$$\omega = 1.0$$

State	Energy
0th	+0.499998
1st	+1.499991
2nd	+2.499986
3rd	+3.500193
4th	+4.500201



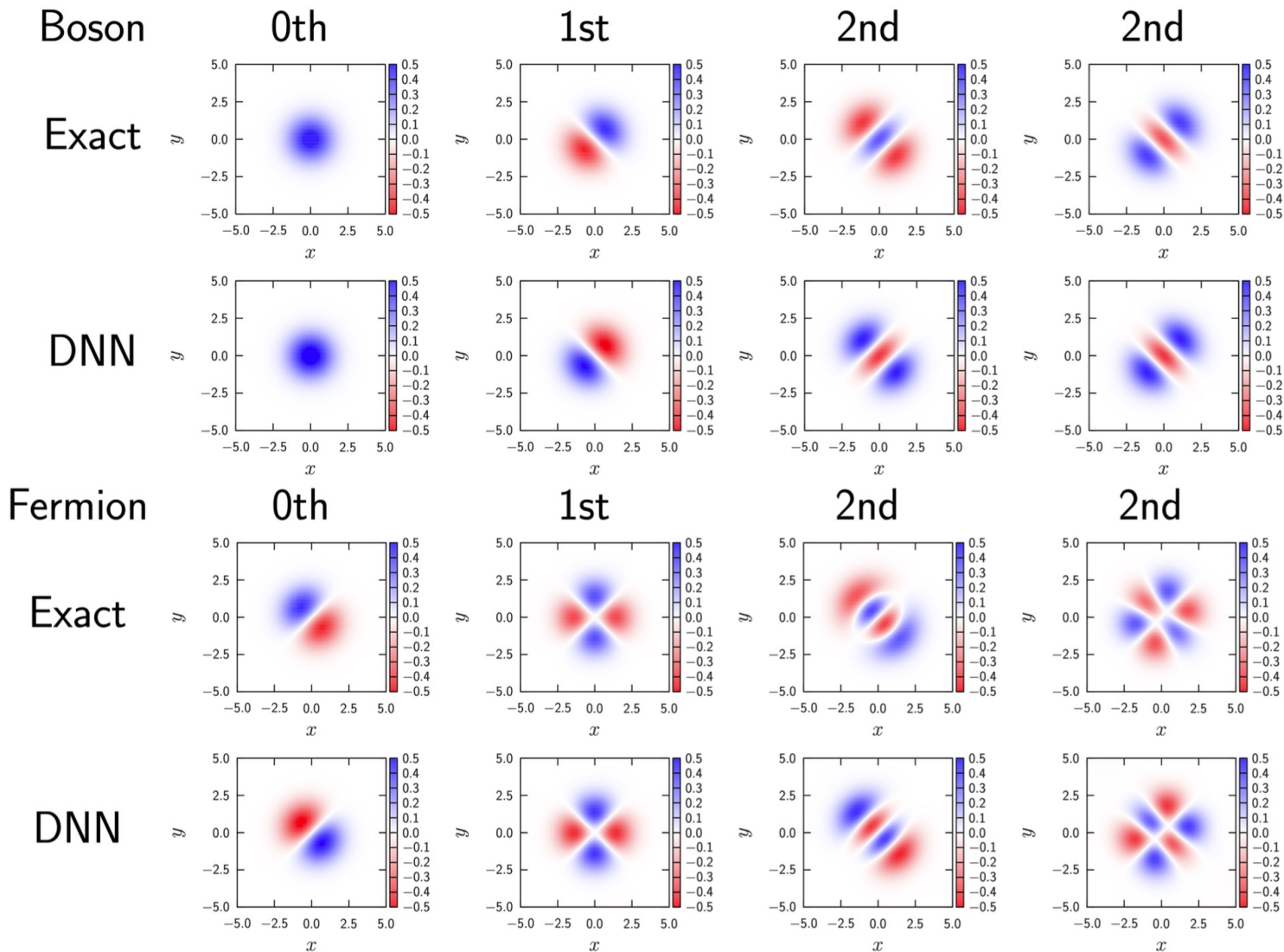
DNN output



# 3. Multi-particle / interaction

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## Excited states (2 particles, 1d harmonic oscillator)



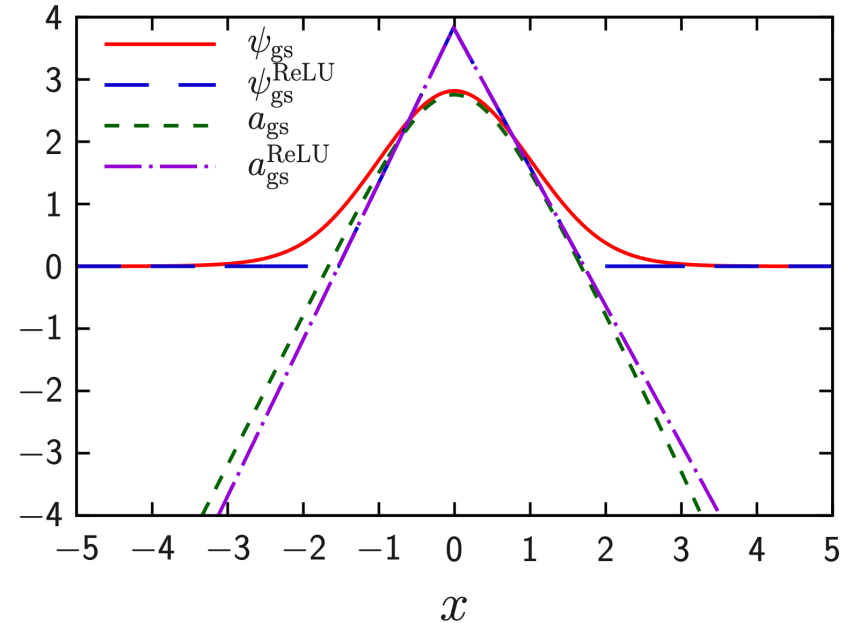
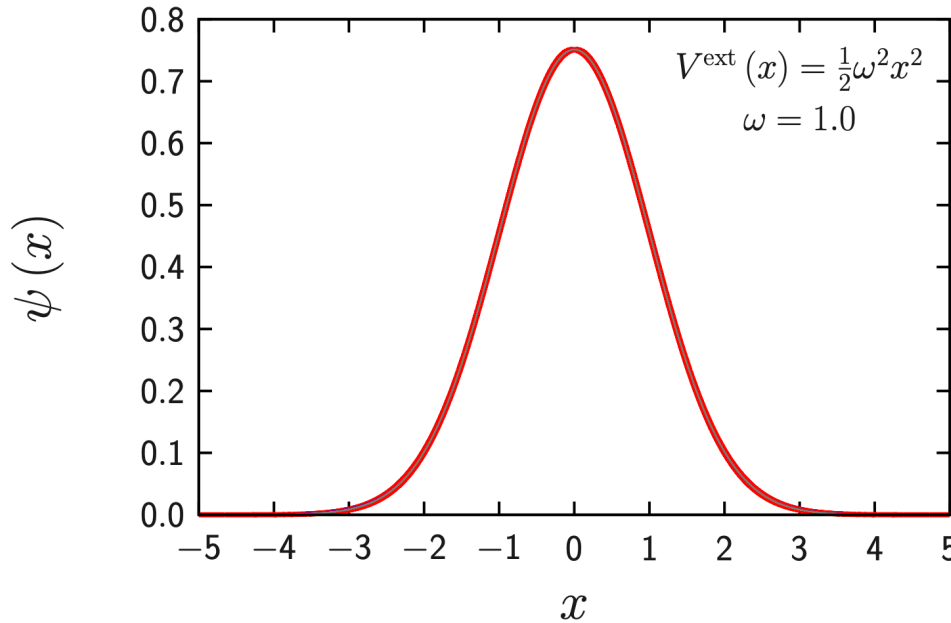
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# 4. Discrete geometry

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## Interpretation of the DNN : discrete geometry



$$\psi_{\text{gs}}(x) = \frac{1}{3.7451} \text{softplus}(a_{\text{gs}}(x)),$$

$$a_{\text{gs}}(x) = 2.4069a_1(x) - 1.8344a_2(x) - 1.9778a_3(x) + 2.3484a_4(x) - 4.8998,$$

$$a_1(x) = \text{softplus}(0.35953x + 3.9226),$$

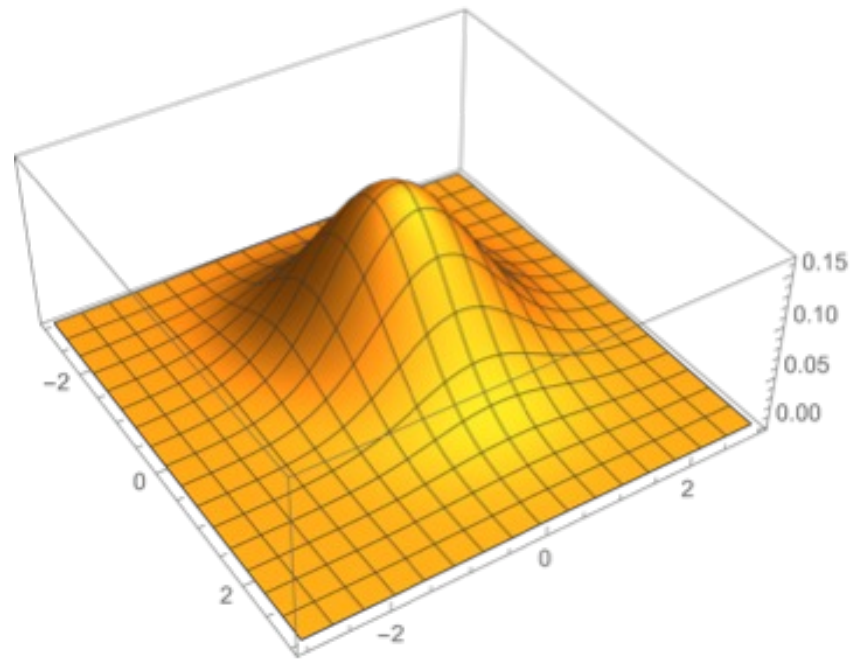
$$a_2(x) = \text{softplus}(2.5821x + 0.033213),$$

$$a_3(x) = \text{softplus}(-0.65170x + 2.9574),$$

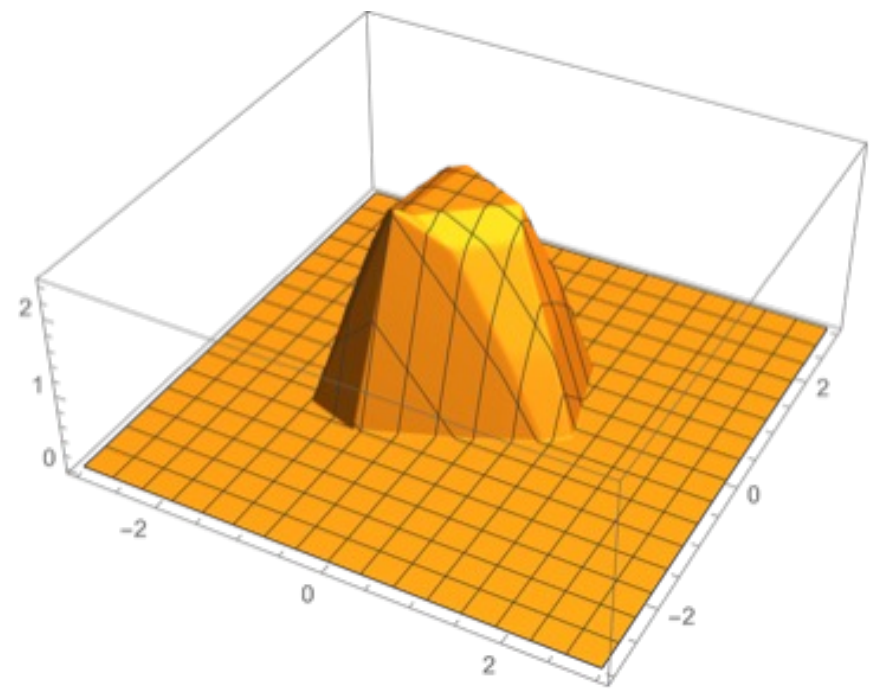
$$a_4(x) = \text{softplus}(0.15421x + 2.2016),$$

# ④ Discrete geometry

## Region-wise linear approximation



2-particle 1d harmonic, DNN



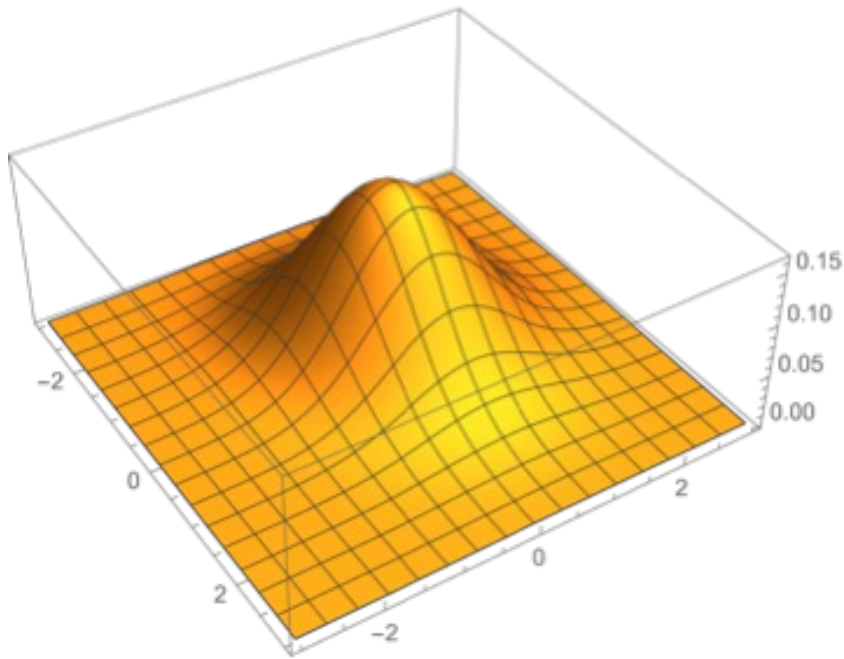
DNN with ReLU replacement



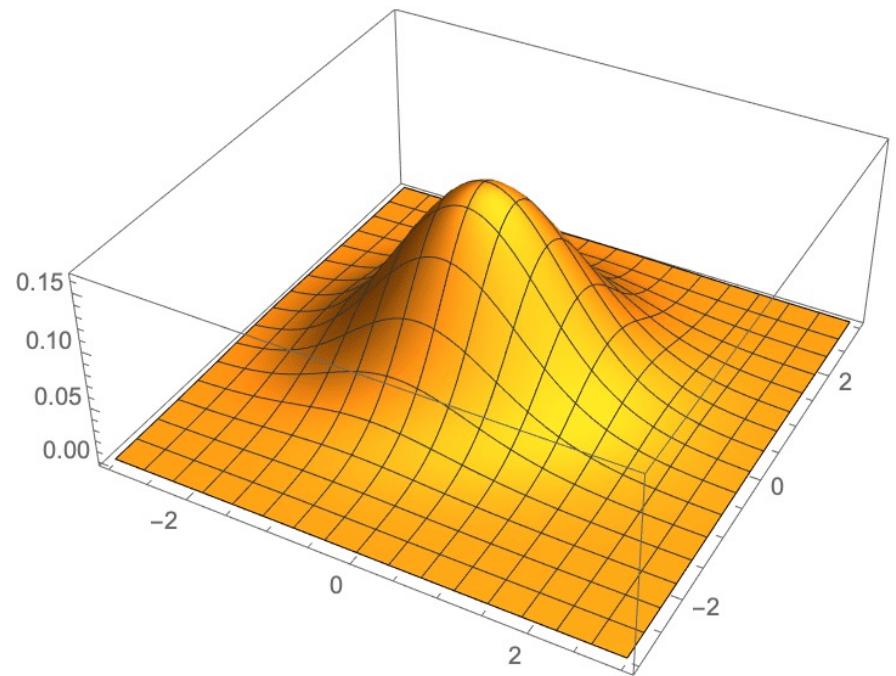
# ④ Discrete geometry

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## Region-wise linear approximation



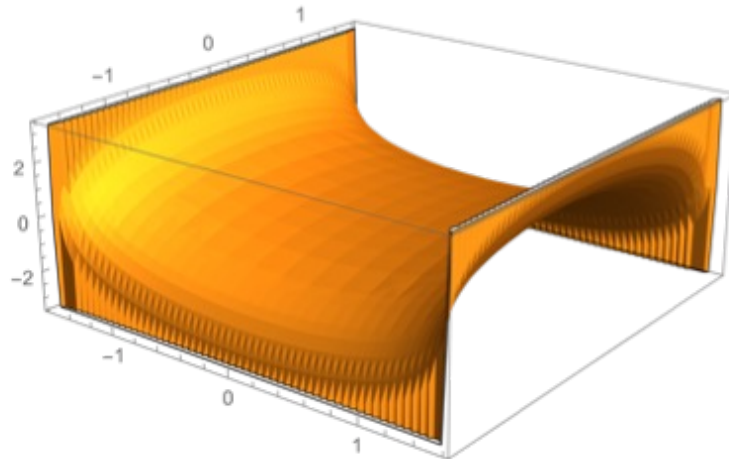
2-particle 1d harmonic, DNN



DNN with ReLU replacement

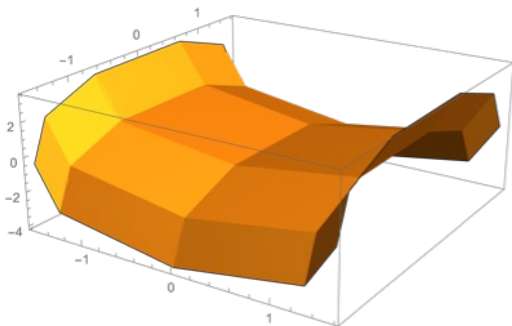
# ④ Discrete geometry

## Working as a discrete geometry method

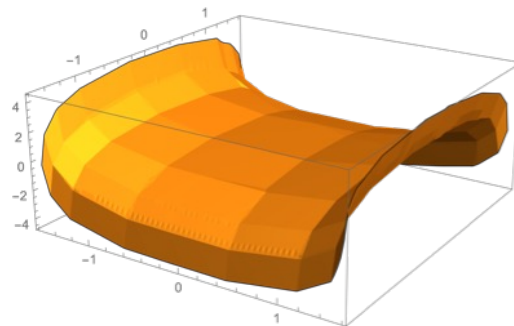


Scherk surface

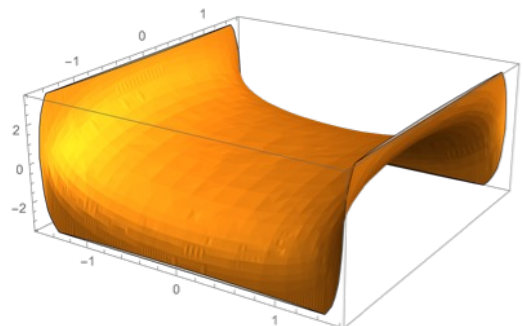
$$\log \left[ \frac{\cos y}{\cos x} \right]$$



DNN 2-4-4-4-1



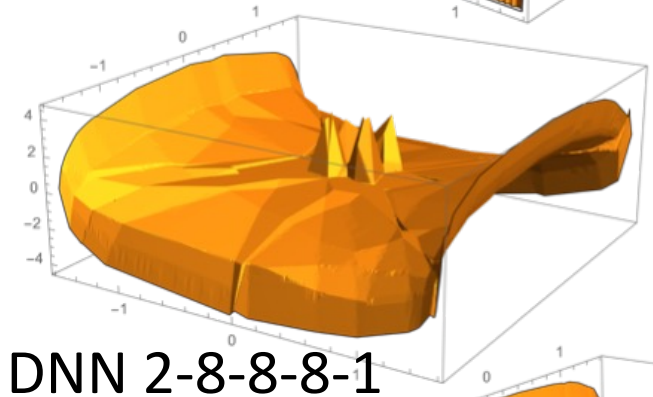
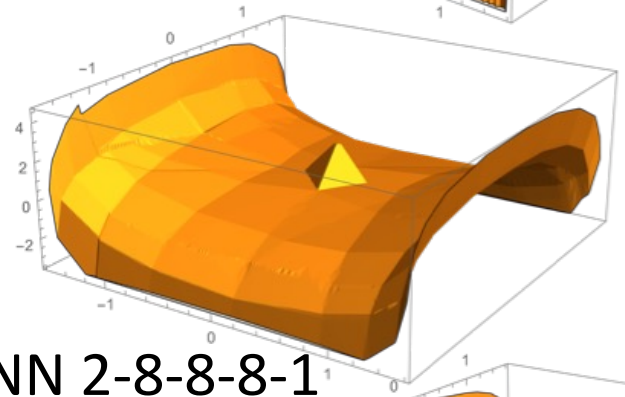
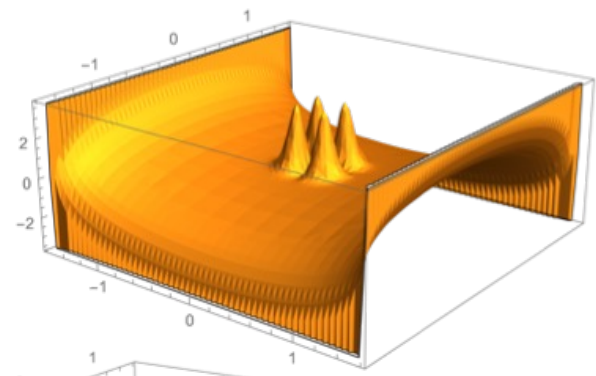
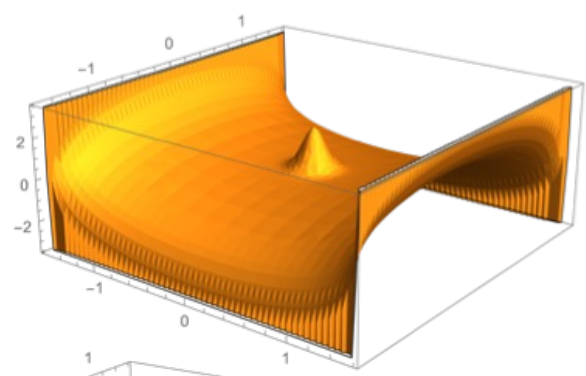
DNN 2-8-8-8-1



DNN 2-20-20-20-1

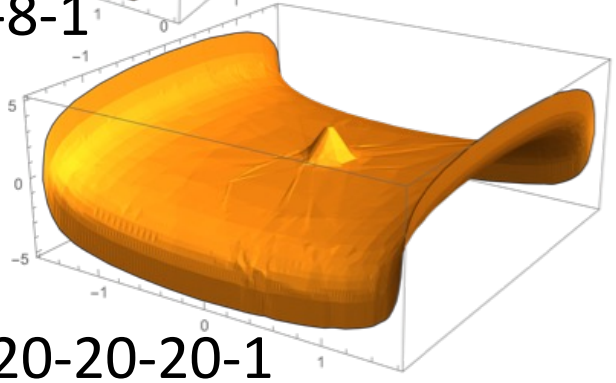
# ④ Discrete geometry

## High curvature region automatically designed

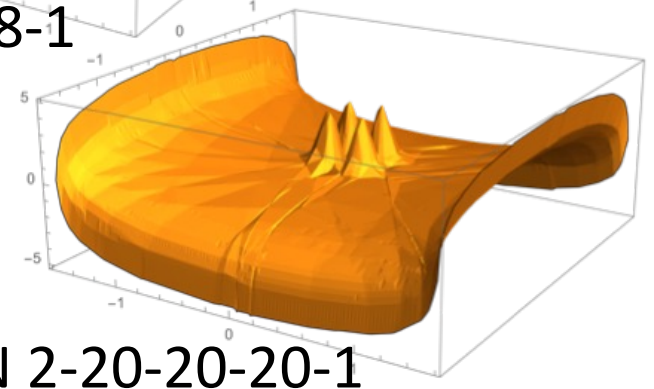


DNN 2-8-8-8-1

DNN 2-8-8-8-1



DNN 2-20-20-20-1



DNN 2-20-20-20-1

# ④ Discrete geometry

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## DNN giving optimal lattice, then?

### Benefit

1. Computation-easy way to get discrete geometry
2. Works even when no smooth surface is given
3. Interpolates the smooth and the discrete

### Quantum gravity!

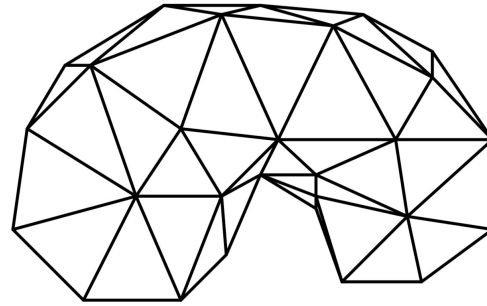
1. Any space can be embedded as a surface in higher dim
2. Loss function can be Einstein equation
3. Weight quantization  $\rightarrow$  Quantization of space
4. No spin network, thus different from AdS/DL

# ML journey from QM to polytopes

- ① Intro: NN quantum states 4 pages
- ② Solving QM with DNN 5 pages  
ArXiv:2302.08965 [physics.comp-ph]
- ③ Multi-particle / interaction 9 pages  
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ArXiv:2307.00721 [cs.LG]

# Quantum gravity and discrete surfaces :

How can we sum all possible spacetimes?



Regge calculus

[Regge '61]

Fixed lattice architecture,  
variable lengths

Dynamical triangulation

[Ambjorn, Loll '98]

Randomly generated  
lattice architecture,  
fixed lengths



# Approximating a sphere by neural network

Supervised data set :

points on a sphere,

$$\mathcal{D} \equiv \{\vec{x}^{(i)} \rightarrow 1 \mid \vec{x}^{(i)} \in S^{d-1}\}$$

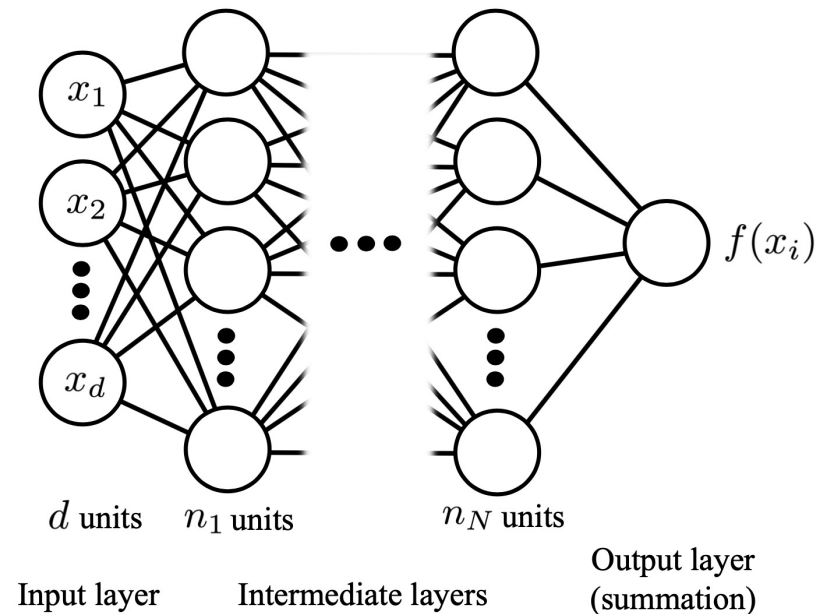
Architecture :

feedforward NN,

fully connected, no bias

Activation function :

$$\text{Generalized ReLU } \varphi(x) = |x|^p$$



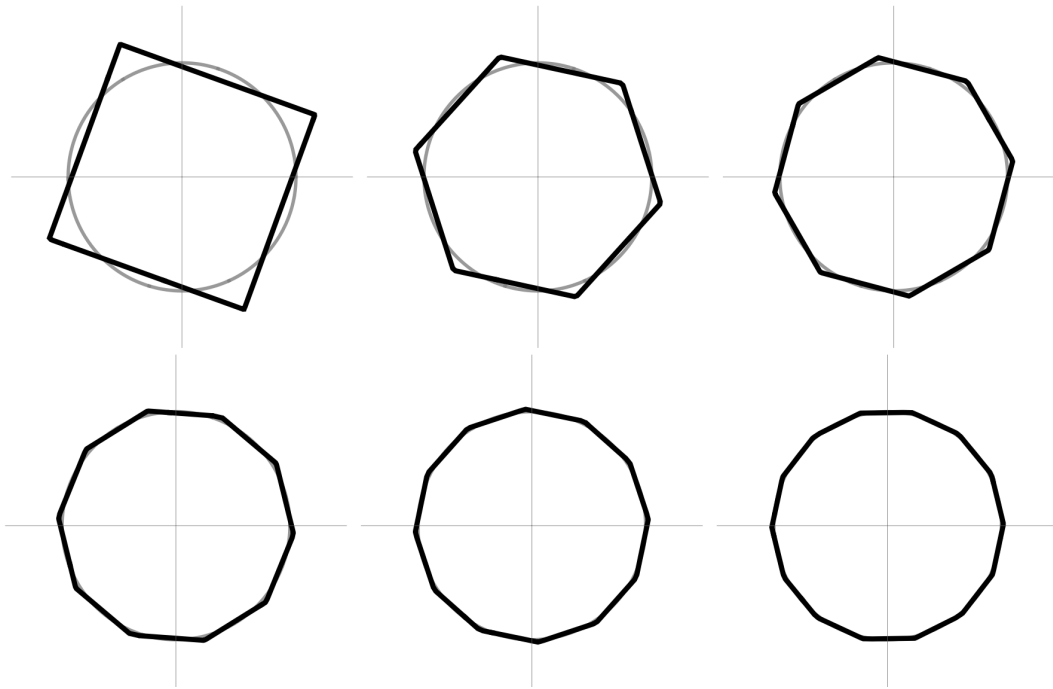
training

**Neural d-polytopes** of type  $(n_1, \dots, n_N; p_1, \dots, p_N)$

||

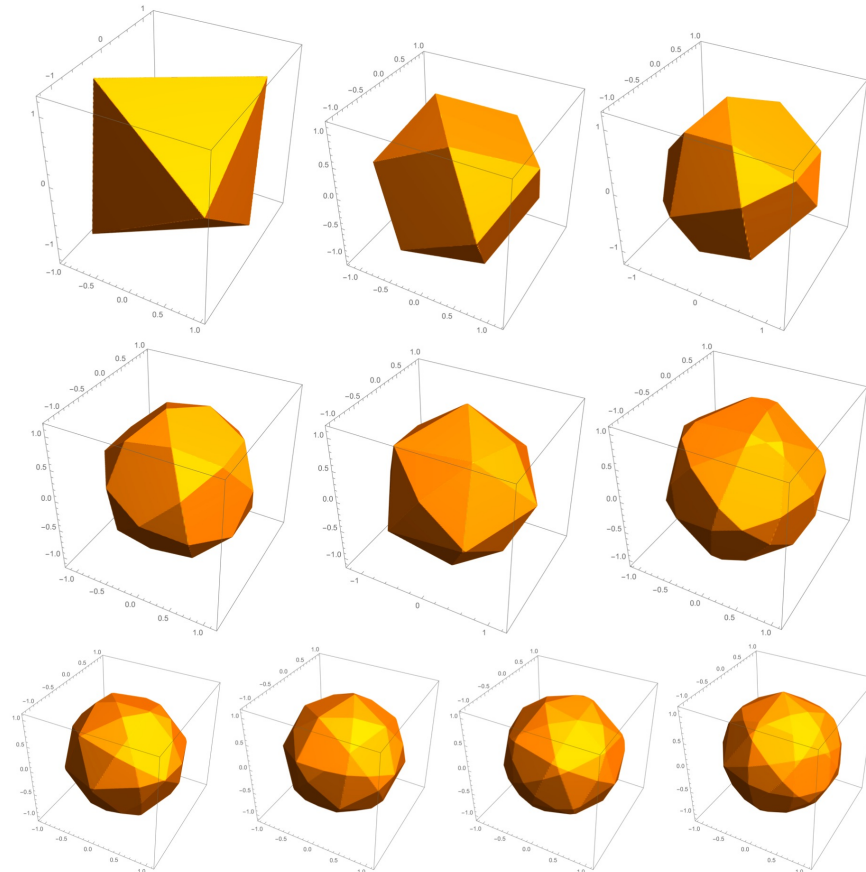
Cross section defined by  $f(x_i) = 1$

# [Result 1] Generative polytopes : successful discrete geometry by machine learning



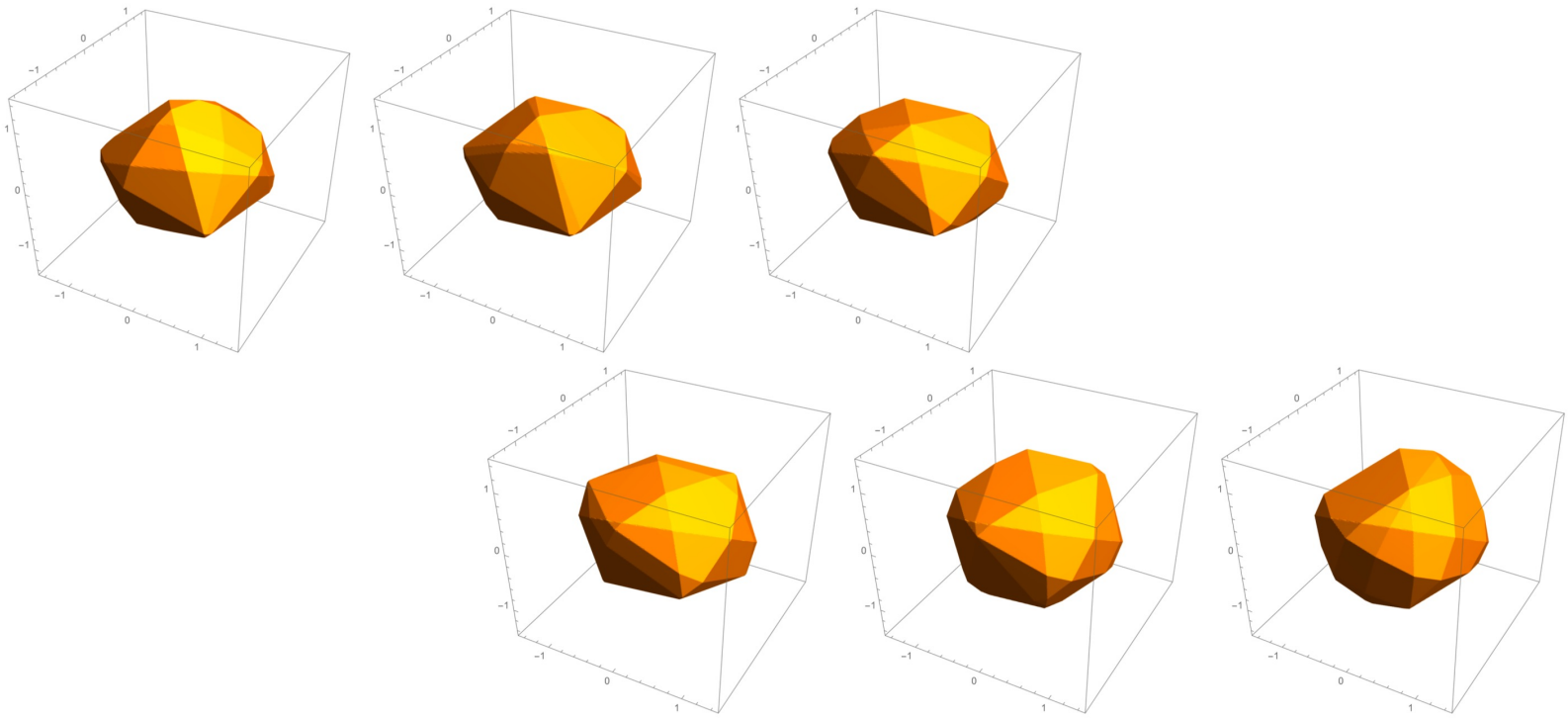
Neural 2-polytopes of type  $(n; 1)$  for  $n = 2, 3, 4, 5, 6, 7$ .

# [Result 1] Generative polytopes : successful discrete geometry by machine learning



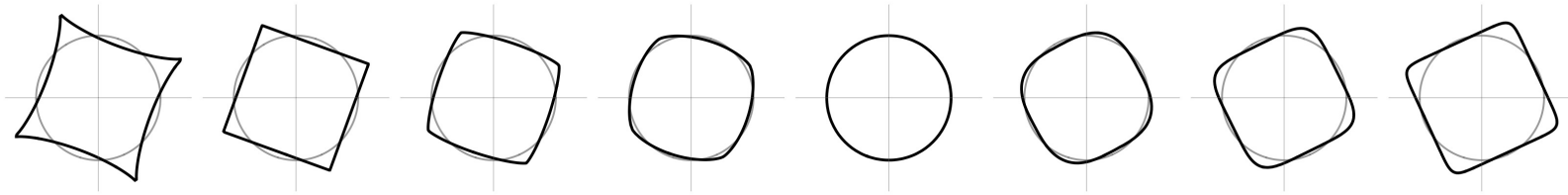
Neural 3-polytopes of type  $(n ; 1)$  for  $n = 3, 4, 5, 6, 7, 8, 9, 10, 11, 12$ .

# [Result 1] Generative polytopes : successful discrete geometry by machine learning

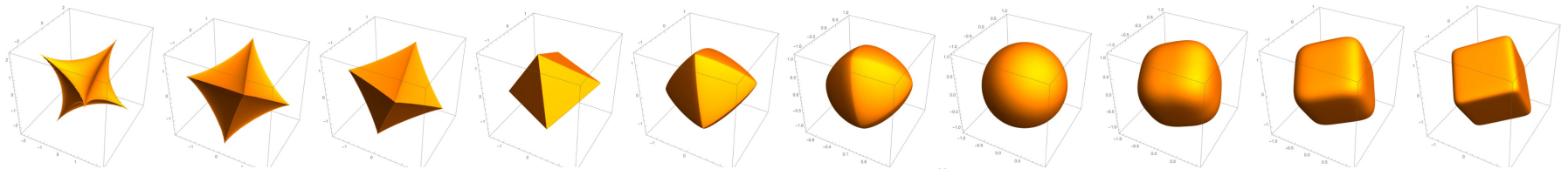


Neural 5-polytopes of type (8 ; 1) sliced at codimension-2 plane rotated gradually.

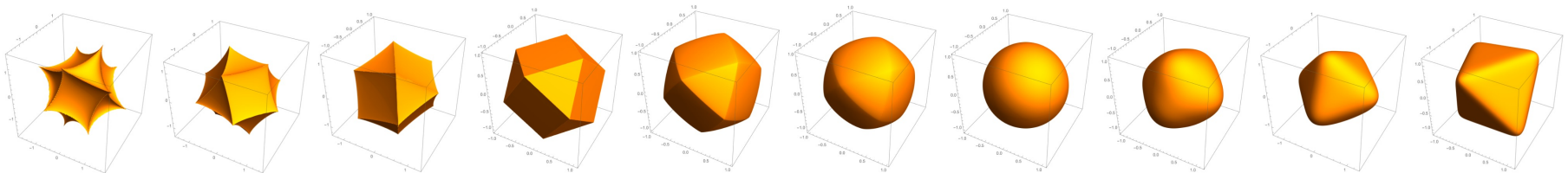
# [Result 2] Neural polytopes : sphere approximation with various activation functions



Neural polygons of type  $(2; p)$ , with the activation function is chosen as  $|x|^p$ , where  $p = 0.8, 1.0, 1.2, 1.5, 2, 3, 5, 10$

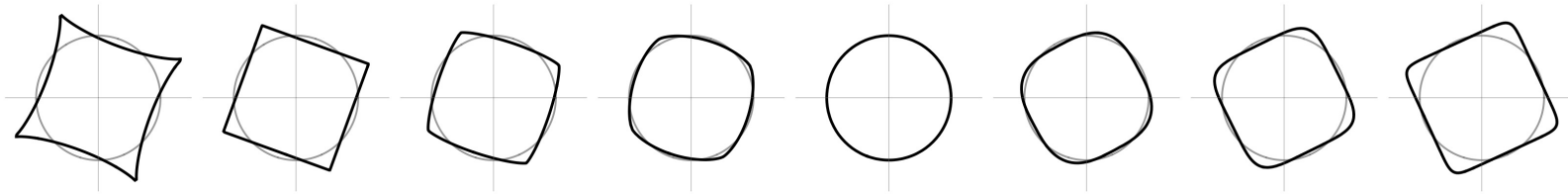


Neural polyhedra of type  $(3; p)$ , with the activation function is chosen as  $|x|^p$ , where  $p = 0.6, 0.8, 0.9, 1.0, 1.2, 1.5, 2, 3, 5, 10$

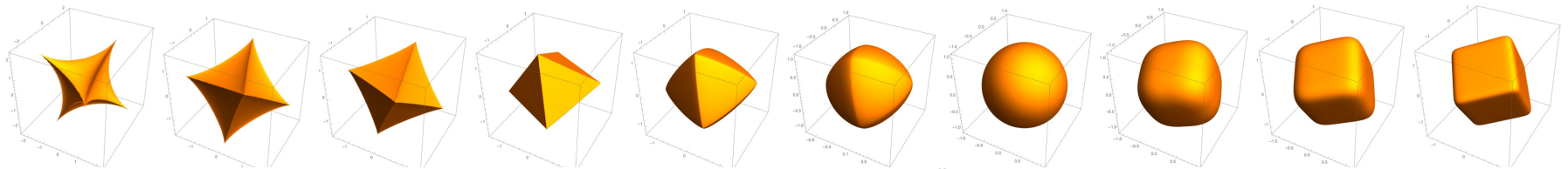


Neural polyhedra of type  $(4; p)$ , with the activation function is chosen as  $|x|^p$ , where  $p = 0.6, 0.8, 0.9, 1.0, 1.2, 1.5, 2, 3, 5, 10$

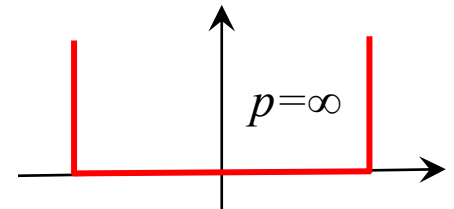
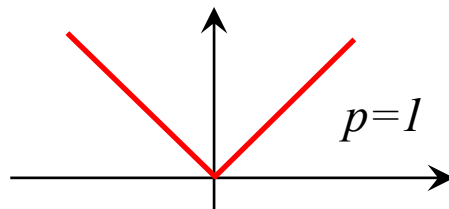
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